

Diverse and Small Business/Large Business Self Certification Form

PENALTY FOR FALSE MISREPRESENTATION

PENALTIES FOR FALSE MISREPRESENTATION: 1) FAR 52-219 (e) (4)—Misrepresentations of business status as a small, small disadvantaged, small women-owned, small veteran-owned (including service disabled), and HUBZone small business concern for the purpose of obtaining a subcontract that is to be included as part of or all of a goal contained in the requesting Contractor's subcontracting plan, without remedy, can result in severe penalties. Additionally, 2) -Under 15 U.S.C. 645(d), any person who misrepresents a firm's status in these same categories in order to obtain a contract to be awarded under the preference programs established pursuant to section 8(a), 8(d), 9 or 15 of the Small Business Act or any other provision of Federal law that specifically references section 8(d) for a definition of program eligibility, shall (i) Be punished by imposition of fine, imprisonment, or both; (ii) Be subject to administrative remedies, including suspension and debarment; and (iii) Be ineligible for participation in programs conducted under the authority of the Act.

By signing below, the supplier/vendor hereby certifies and represents that the information provided is current, accurate, and complete. The supplier further certifies that it will notify AEP of any changes to information provided.

I. Supplier Contact information:

Company Name and (DBA): _____
Print or type

Physical Address: _____ City: _____ State: _____ Zip: _____

Authorized Representative: _____ Authorized Signature _____
Print

Title: _____ Date: _____

Email: _____

Telephone Number: _____ Fax Number: _____

2. Select business types that apply: (NOTE: A business size must be selected) Small Business Large Business

Next, provide the NAICS CODE(s): _____, _____, _____, _____, _____

Business code(s) may be referenced at www.naics.com

3. Then, select ALL certified business classification (s) that applies:

- | | | |
|---|--|--|
| <input type="checkbox"/> Minority-Owned and Controlled (51% or more)
<small>(for a minority "controlled" enterprise 30%)</small> | <input type="checkbox"/> Veteran-Owned (51% or more) | <input type="checkbox"/> Service Disable Veteran-Owned
(51% or more) |
| <input type="checkbox"/> Woman-Owned
(51% or more) | <input type="checkbox"/> Small Disadvantaged Business (8a) | <input type="checkbox"/> LGBT-Owned <input type="checkbox"/> HUBZone Certified |

*If third party certified for any of the above business types please attach copy of your current certification(s)
 Definitions and Eligibility Requirements for the above business types may be referenced at SBA.GOV*

4. Lastly, select ALL additional minority classification(s) that applies:

- | | | |
|--|---|--|
| <input type="checkbox"/> African-American | <input type="checkbox"/> Indian (Sub-Continent) | <input type="checkbox"/> Native-American |
| <input type="checkbox"/> Hispanic-American | <input type="checkbox"/> Asian/Pacific Islander | <input type="checkbox"/> Multi-Ethnic |

AEP Internal Use Only

Vendor # or PO # Example: Vendor # or PO#

Thank you for taking the time to complete this supplier Self Certification Form. Please return the completed form along with any supporting certification documentation via email to: supplierdiversity@aep.com.